

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAR 18 2002

Applicants: Jan Krzysztof Blusztajn et al.

Serial No.: 09/656,935

Group Art Unit: 1632

Filed: September 7, 2000

Examiner: Anne Marie Baker

For: BMP-9 COMPOSITIONS AND METHODS FOR INDUCING
DIFFERENTIATION OF CHOLINERGIC NEURONS

Confirmation No.: 2376

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Ellen J. Kapinos

Please Print Name of Person Signing

RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT
APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO
ACID SEQUENCE DISCLOSURES

Box Sequence
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This is in response to the Notice to Comply with Sequence Rules 37 C.F.R.
§§1.821-1.825 attached hereto, mailed from the Patent Office on December 18, 2001.

Applicant's Attorney requests a two-month extension of time to respond to the Notice A Petition for the Extension and the appropriate fees are being filed concurrently.

Transmitted herewith is a paper copy of the "Sequence Listing" and a copy of the Sequence Listing in computer readable form as required by 37 C.F.R. §1.821(e) as well as a Statement in accordance with 37 C.F.R. §1.821(f) and (g) stating that the content of the paper and computer readable copies are the same and include no new matter. In addition, an amendment to the specification providing sequence identifiers in accordance with 37 C.F.R. 1.821(d) is submitted herewith.

If any additional fee is due with regard to this paper, Applicants hereby authorize payment of such fee from deposit account 07-1060.

Respectfully submitted,

By Ellen J. Kapinos

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NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).
- ☒ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☒ 7. Other: The specification and/or figures must be amended in accordance with 37 C.F.R. 1.821(d).

Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Docket No: GI 5372A

In re patent application of
BLUSZTAJN, JAN KRZYSZTOF et al.

Serial No. 09/656,935

Filed: September 7, 2000

For: BMP-9 COMPOSITIONS AND METHODS FOR INDUCING DIFFERENTIATION OF
CHOLINERGIC NEURONS

STATEMENT TO SUPPORT FILING AND SUBMISSION IN
ACCORDANCE WITH 37 C.F.R. §§ 1.821-1.825

Assistant Commissioner for Patents
Washington, D.C. 20231
Box SEQUENCE

Sir:

In connection with a Sequence Listing submitted concurrently
herewith, the undersigned hereby states that:

1. the submission, filed herewith in accordance with 37
C.F.R. § 1.821(g), does not include new matter;

2. the content of the attached paper copy and the
attached computer readable copy of the Sequence Listing, submitted in
accordance with 37 C.F.R. § 1.821(c) and (e), respectively, are the same;
and

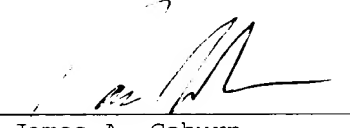
3. all statements made herein of their own knowledge are
true and that all statements made on information and belief are believed to
be true; and further, that these statements were made with the knowledge
that willful false statements and the like so made are punishable by fine
or imprisonment, or both, under Section 1001 of Title 18 of the United

Serial No. 09/656;935

States Code and that such willful false statements may jeopardize the validity of the application or any patent resulting therefrom.

Respectfully submitted,

February 27, 2002
Date


James A. Coburn

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